

Exercise of options and PDMR dealing

June 16, 2023 11:32 AM EDT

RNS Number: 0358D MaxCyte, Inc. 16 June 2023



MaxCyte, Inc.

("MaxCyte" or the "Company")

Exercise of options and PDMR dealing

Gaithersburg, Maryland - 16 June 2023: MaxCyte Inc., (NASDAQ: MXCT; LSE: MXCT), a leading, cell-engineering focused company providing enabling platform technologies to advance the discovery, development, and commercialization of next-generation cell therapeutics and to support innovative, cell-based research, announces that between 13 June 2023 and 14 June 2023, as per a filing lodged with the U.S. Securities and Exchange Commission, Doug Doerfler, Chief Executive Officer, exercised options over 43,851 shares of common stock of \$0.01 of the Company ("Common Stock") ("Exercise") in aggregate. The 43,851 new shares of Common Stock were issued pursuant to the Company's existing its block admission facility and were sold by Doug Doerfler at a price range between \$5.00 and \$5.115 per Common Stock ("Sale"). The sold shares represent approximately 1.2% of Doug Doerfler's total equity and option holdings in the Company's stock capital, respectively.

Following the Exercise and Sale, Doug Doerfler holds 333,197 shares of Common Stock representing 0.3% of the issued stock capital of the Company. Following the Exercise, Doug Doerfler holds a further 3,233,680 options over Common Stock.

The sales and option exercises were effected pursuant to a Rule 10b5-1 trading plan adopted by Doug Doug Doerfler on 18 November 2022 relating solely to the sale of shares acquired from exercise of an option that expires on 11 November 2024.

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About MaxCyte

At MaxCyte, we pursue cell engineering excellence to maximize the potential of cells to improve patients' lives. We have spent more than 20 years honing our expertise by building best-in-class platforms, perfecting the art of the transfection workflow, and venturing beyond today's processes to innovate tomorrow's solutions. Our ExPERT™ platform, which is based on our Flow Electroporation® technology, has been designed to support the rapidly expanding cell therapy market and can be utilized across the continuum of the high-growth cell therapy sector, from discovery and development through commercialization of next-generation, cell-based medicines. The ExPERT family of products includes: four instruments, the ATx™, STx™, GTx™ and VLx™; a portfolio of proprietary related processing assemblies or disposables; and software protocols, all supported by a robust worldwide intellectual property portfolio. By providing our partners with the right technology, as well as technical and regulatory support, we aim to guide them on their journey to transform human health. Learn more at maxcyte.com and follow us on Twitter and LinkedIn.

NOTIFICATION AND PUBLIC DISCLOSURE OF TRANSACTIONS BY PERSONS DISCHARGING MANAGERIAL RESPONSIBILITIES AND PERSONS CLOSELY ASSOCIATED WITH THEM

1	Details of the person discharging	managerial responsibilities / person closely associated		
a)	Name	Doug Doerfler		
2	Reason for the notification			
a)	Position/status	Chief Executive Officer		
b)	Initial notification /Amendment	Initial notification		
κ	Details of the issuer, emission all or auction monitor	owance market participant, auction platform, auctioneer		
a)	Name	MaxCyte Inc.		
b)	LEI	54930053YHXULRFCU991		
4		ion to be repeated for (i) each type of instrument; (ii) h date; and (iv) each place where transactions have been		
a)	Description of the financial instrument, type of instrument	Common Stock of \$0.01 each		
	Identification code	US57777K1060		
b)	Nature of the transaction	Exercise of Options over common stock		
c)	Price(s) and volume(s)	Exercise Price(s) Volume(s)		

		\$0.04	14,968
d)	Aggregated information		
	- Aggregated volume	n/a	
	- Price	n/a	
e)	Date of the transaction	13 June 2023	
f)	Place of the transaction	US Stock Exchange, Nasdaq	

Details of the person discharging managerial responsibilities / person clos				
a)	Name	Doug Doerfler		
2	Reason for the notification			
a)	Position/status	Chief Executive Officer		
b)	Initial notification /Amendment	Initial notification		
3	Details of the issuer, emission all or auction monitor	owance market participant, auction platform, auctione		
a)	Name	MaxCyte Inc.		
		54930053YHXULRFCU991		
b)	LEI			
	Details of the transaction(s): sect	54930053YHXULRFCU991 ion to be repeated for (i) each type of instrument; (ii) h date; and (iv) each place where transactions have be		
4	Details of the transaction(s): sect each type of transaction; (iii) each	ion to be repeated for (i) each type of instrument; (ii)		
4	Details of the transaction(s): sect each type of transaction; (iii) each conducted Description of the financial	ion to be repeated for (i) each type of instrument; (ii) h date; and (iv) each place where transactions have be		
4 a)	Details of the transaction(s): sect each type of transaction; (iii) each conducted Description of the financial instrument, type of instrument	tion to be repeated for (i) each type of instrument; (ii) h date; and (iv) each place where transactions have be Common Stock of \$0.01 each		
b) 4 a)	Details of the transaction(s): sect each type of transaction; (iii) each conducted Description of the financial instrument, type of instrument Identification code	cion to be repeated for (i) each type of instrument; (ii) h date; and (iv) each place where transactions have be common Stock of \$0.01 each		

		\$5.03	978
		\$5.035	432
		\$5.04	391
		\$5.045	228
		\$5.05	116
		\$5.055	58
		\$5.06	84
		\$5.07	82
		\$5.08	284
		\$5.085	249
		\$5.09	691
		\$5.095	396
		\$5.10	142
		\$5.11	212
		\$5.115	200
d)	Aggregated information		
	- Aggregated volume	14,968	
	- Price	\$5.025	
e)	Date of the transaction	13 June 2023	
f)	Place of the transaction	US Stock Exchange, Nasdaq	

1	Details of the person discharging managerial responsibilities / person closely associated		
a)	Name	Doug Doerfler	
2	2 Reason for the notification		
a)	Position/status	Chief Executive Officer	
b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor		
a)	Name	MaxCyte Inc.	
b)	LEI	54930053YHXULRFCU991	
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted		
a)	Description of the financial instrument, type of instrument	Common Stock of \$0.01 each	

	Identification code	US57777K1060
b)	Nature of the transaction	Exercise of Options over common stock
c)	Price(s) and volume(s)	Exercise Price(s) Volume(s) \$0.04 28,883
d)	Aggregated information	
	- Aggregated volume	n/a
	- Price	n/a
e)	Date of the transaction	14 June 2023
f) Place of the transaction		US Stock Exchange, Nasdaq

1	Details of the person discharging managerial responsibilities / person closely associated		
a)	Name	Doug Doerfler	
2	Reason for the notification		
a)	Position/status	Chief Executive Officer	
b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allowance market participant, auction platform, auctionee or auction monitor		
a)	Name	MaxCyte Inc.	
b)	LEI	54930053YHXULRFCU991	
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted		
a)	Description of the financial instrument, type of instrument	Common Stock of \$0.01 each	
	Identification code	US57777K1060	
b)	Nature of the transaction	Sale of common stock	
c)	Price(s) and volume(s)		

		Exercise Price(s)	Volume(s)	
		\$5.00	3,970	
		\$5.005	119	
		\$5.01	449	
		\$5.015	1,221	
		\$5.02	509	
		\$5.025	2,563	
		\$5.03	2,030	
		\$5.035	4,198	
		\$5.04	5,707	
		\$5.045	1,450	
		\$5.05	777	
		\$5.055	778	
		\$5.06	971	
		\$5.065	201	
		\$5.07	3,940	
d)	Aggregated information			
	- Aggregated volume	28,883		
	- Price	\$5.0356	\$5.0356	
e)	Date of the transaction	14 June 2023	14 June 2023	
f)	Place of the transaction	US Stock Exchange, Nasc	laq	

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