



# Exercise of options and PDMR dealing

September 1, 2023 8:01 AM EDT

RNS Number : 1105L  
MaxCyte, Inc.  
01 September 2023



## MaxCyte, Inc.

("MaxCyte" or the "Company")

### Exercise of options and PDMR dealing

**ROCKVILLE, MD, September 1, 2023:** MaxCyte Inc., (NASDAQ: MXCT; LSE: MXCT), a leading, cell-engineering focused company providing enabling platform technologies to advance the discovery, development, and commercialization of next-generation cell therapeutics and to support innovative, cell-based research, announces that between 28 August 2023 and 30 August 2023, as per a filing lodged with the U.S. Securities and Exchange Commission, John Johnston, a Non-Executive Director of the Company, exercised options over 5,000 shares of common stock of \$0.01 of the Company ("Common Stock") ("Exercise") in aggregate. The 5,000 new shares of Common Stock were issued pursuant to the Company's existing block admission facility and were sold by John Johnston at a price range between \$3.70 and \$3.73 per Common Stock ("Sale"). The sold shares represent approximately 1.5% of John Johnston's total equity and option holdings in the Company's stock capital, respectively.

Following the Exercise and Sale, John Johnston holds 120,583 shares of Common Stock representing 0.1% of the issued stock capital of the Company. Following the Exercise, John Johnston holds a further 197,217 options over Common Stock.

The sales and option exercises were effected pursuant to a Rule 10b5-1 trading plan adopted by John Johnston on 28 March 2023 relating solely to the sale of shares acquired from exercise of options that expire on 20 January 2030.

#### MaxCyte Contacts:

##### *US IR Adviser*

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David Deuchler, CFA

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[ir@maxcyte.com](mailto:ir@maxcyte.com)

##### *Nominated Adviser and Joint Corporate Broker*

Panmure Gordon  
Emma Earl / Freddy Crossley  
Corporate Broking  
Rupert Dearden

+44 (0)20 7886 2500

**About MaxCyte**

At MaxCyte, we pursue cell engineering excellence to maximize the potential of cells to improve patients' lives. We have spent more than 20 years honing our expertise by building best-in-class platforms, perfecting the art of the transfection workflow, and venturing beyond today's processes to innovate tomorrow's solutions. Our ExPERT™ platform, which is based on our Flow Electroporation® technology, has been designed to support the rapidly expanding cell therapy market and can be utilized across the continuum of the high-growth cell therapy sector, from discovery and development through commercialization of next-generation, cell-based medicines. The ExPERT family of products includes: four instruments, the ATx™, STx™, GTx™ and VLx™; a portfolio of proprietary related processing assemblies or disposables; and software protocols, all supported by a robust worldwide intellectual property portfolio. By providing our partners with the right technology, as well as technical and regulatory support, we aim to guide them on their journey to transform human health. Learn more at [maxcyte.com](http://maxcyte.com) and follow us on [Twitter](#) and [LinkedIn](#).

**NOTIFICATION AND PUBLIC DISCLOSURE OF TRANSACTIONS BY PERSONS DISCHARGING MANAGERIAL RESPONSIBILITIES AND PERSONS CLOSELY ASSOCIATED WITH THEM**

<b>1</b>	<b>Details of the person discharging managerial responsibilities / person closely associated</b>			
a)	Name	John Johnston		
<b>2</b>	<b>Reason for the notification</b>			
a)	Position/status	Non-Executive Director		
b)	Initial notification /Amendment	Initial notification		
<b>3</b>	<b>Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor</b>			
a)	Name	MaxCyte Inc.		
b)	LEI	54930053YHXULRFCU991		
<b>4</b>	<b>Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted</b>			
a)	Description of the financial instrument, type of instrument  Identification code	Common Stock of \$0.01 each  US57777K1060		
b)	Nature of the transaction	Exercise of Options over common stock		
c)	Price(s) and volume(s)	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Exercise Price(s)</td> <td style="width: 50%;">Volume(s)</td> </tr> </table>	Exercise Price(s)	Volume(s)
Exercise Price(s)	Volume(s)			

		\$1.641	100
d)	Aggregated information - Aggregated volume - Price	n/a n/a	
e)	Date of the transaction	28 August 2023	
f)	Place of the transaction	US Stock Exchange, Nasdaq	

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a)	Description of the financial instrument, type of instrument  Identification code	Common Stock of \$0.01 each  US57777K1060					
b)	Nature of the transaction	Sale of common stock					
c)	Price(s) and volume(s)	<table border="1"> <thead> <tr> <th>Exercise Price(s)</th> <th>Volume(s)</th> </tr> </thead> <tbody> <tr> <td>\$3.70</td> <td>100</td> </tr> </tbody> </table>		Exercise Price(s)	Volume(s)	\$3.70	100
Exercise Price(s)	Volume(s)						
\$3.70	100						
d)	Aggregated information - Aggregated volume	100					

	- Price	\$3.70
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Exercise Price(s)	Volume(s)					
\$1.641	2,059					
d)	Aggregated information  - Aggregated volume  - Price	n/a  n/a				
e)	Date of the transaction	29 August 2023				
f)	Place of the transaction	US Stock Exchange, Nasdaq				

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Exercise Price(s)	Volume(s)									
\$3.70	1,501									
\$3.705	393									
\$3.72	165									
d)	Aggregated information  - Aggregated volume  - Price	2,059  \$3.7026								
e)	Date of the transaction	29 August 2023								
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Exercise Price(s)	Volume(s)					
\$1.641	2,841					
d)	Aggregated information  - Aggregated volume  - Price	n/a  n/a				
e)	Date of the transaction	30 August 2023				
f)	Place of the transaction	US Stock Exchange, Nasdaq				

<b>1</b>	<b>Details of the person discharging managerial responsibilities / person closely associated</b>	
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Exercise Price(s)	Volume(s)											
\$3.70	2,225											
\$3.705	200											
\$3.71	107											
\$3.73	309											
d)	Aggregated information  - Aggregated volume  - Price	2,841  \$3.704										
e)	Date of the transaction	30 August 2023										
f)	Place of the transaction	US Stock Exchange, Nasdaq										

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