FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| STATEMENT | OF CHANGES | IN BENEFICIA | L OWNERSHIP |
|-----------|-------------------|--------------|-------------|

| OMB APPROVAL | | | | | | | | |
|---------------------------------------|-----------|--|--|--|--|--|--|--|
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| hours per response. | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | or | Sec | tion 30 | (h) of the | Investme | nt Co | mpany Act | of 1940 | | | | | | | |
|--|---|--|--|---------|---|---------------------------|---|----------------------|------------------------------------|---|--|---|--|--|---|---|----------------|--|---------------------------------------|
| Name and Address of Reporting Person* Doerfler Douglas | | | | | 2. Issuer Name and Ticker or Trading Symbol MAXCYTE, INC. [MXCT] 5. Relationshi (Check all app X Direct | | | | | | | | | | ctor 10% Owner | | | vner | |
| (Last) C/O MA | (F XCYTE, II | * | (Middle) | | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/01/2023 | | | | | | | X | below) | | | Other (s below) CEO | specify |
| 9713 KEY WEST AVENUE, SUITE 400 | | | | | | | | | | | | | | | | | | | |
| (Street) ROCKVILLE MD 20850 (City) (State) (Zip) | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person Person | | | | | | |
| | | Tab | ole I - No | n-Deriv | /ative | e Se | curit | ties Ac | quired. | Dis | posed o | of, or Be | nefic | ially | Owned | <u> </u> | | | |
| 1. Title of Security (Instr. 3) 2. Tra | | | 2. Trans | action | action 2A. Dee Executi Pay/Year) if any | | | 3. Transa Code | 3. 4 Transaction Code (Instr. 5 | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5) | | | 5. Amou Securitie Benefici Owned F | nt of es ally Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | Code | v | Amount | (A) oi (D) | Pric | ce | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| Common Stock | | | | 02/0 | 1/2023 | | | | M ⁽¹⁾ | | 19,33 | 5 A S | | 0.04 | 352,532 | | | D | |
| Common Stock 02/01/ | | | | 1/2023 | 023 | | S ⁽¹⁾ | | 19,335 D | | \$5. | .69 ⁽²⁾ | 333,197 | | | D | | | |
| Common Stock 02/02/ | | | | 2/2023 | 23 | | M ⁽¹⁾ | | 10,665 A | | \$(| 0.04 | 4 343,862 | | | D | | | |
| Common Stock 02/02/ | | | 2/2023 | 2023 | | S ⁽¹⁾ | | 10,665 D \$ | | \$5. | .77 ⁽³⁾ | 333,197 | | | D | | | | |
| | | - | Table II - | | | | | | | • | osed of, converti | | | • | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Day | Date, | | ransaction ode (Instr. | | n of | | 6. Date Exercis. Expiration Date (Month/Day/Yea | | 7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4) | | 1 | 3. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | e S Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | Amou or Numb of Share | ber | | | | | |
| Employee Stock Option (right to buy) | \$0.04 | 02/01/2023 | | | M ⁽¹⁾ | | | 19,335 | (4) | | 11/11/2024 | Common Stock | 19,3 | 35 | \$0.00 | 395,74 | 15 | D | |
| Employee Stock Option (right to buy) | \$0.04 | 02/02/2023 | | | M ⁽¹⁾ | | | 10,665 | (4) | | 11/11/2024 | Common Stock | 10,6 | 665 | \$0.00 | 385,08 | 30 | D | |

Explanation of Responses:

- 1. The sales and option exercises reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 18, 2022 relating solely to the sale of shares acquired from exercise of an option that expires on November 11, 2024.
- 2. The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$5.50 to \$5.85, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this
- 3. The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$5.69 to \$5.885, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote
- 4. This option is fully vested and exercisable.

Remarks:

/s/ Brian F. Leaf, Attorney-in-

02/03/2023

Fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.